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JASON BERRY

**UTILITY  
APPLICATION**

for

**UNITED STATES LETTERS PATENT**

on

**COMPOSITIONS AND METHOD FOR TREATMENT OF STEROID/NUCLEAR  
RECEPTOR-MEDIATED DISEASES**

by

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Sheets of Drawings: Two (2)

Docket No.: LLOYD1100

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Compositions and method for treatment of steroid/nuclear receptor-mediated diseasesField of the invention

5 The invention relates to compositions and methods for the treatment of steroid/nuclear-mediated physiological conditions. In particular, Chinese herb extracts and compounds extracted therefrom are provided.

Background of the Invention10 *Steroid/nuclear receptors*

Steroid/nuclear receptors are ligand-activated transcription factors that activate genes by binding to the hormone response elements located in their enhancer or promoter regions. Members of the steroid/nuclear receptor superfamily include the androgen receptor (AR), progesterone receptor (PR),  
15 glucocorticoid receptor (GR), estrogen receptor (ER) and peroxisome proliferator-activated receptor (PPAR). The steroid/nuclear receptors are commonly organized into domains with specific functions. The N-terminal transactivation domain (TAD) has a ligand-independent activation function whereas; the DNA-binding domain (DBD) enables the receptor to bind to its  
20 cognate target site in the promoter of responsive genes. The C-terminal ligand-binding domain (LBD) contains a pocket for specific interactions with small hydrophobic ligands. Upon ligand binding, the steroid/nuclear receptors undergo a conformational change allowing the receptor to interact with DNA, thereby regulating RNA transcription from target genes. Modulation of RNA  
25 transcription leads to changes in the level of corresponding proteins, which causes a myriad of physiological activities associated with these receptors.

*Peroxisome proliferator-activated receptor (PPAR) and PPAR activators (ligands)*

PPAR is a member of the nuclear/hormone receptor superfamily, which heterodimerizes with Retinoid X receptor (RXR) and regulates gene expression by binding to Peroxisome Proliferator Responsive Elements (PPRE) in the promoter region of target genes (Mangelsdorf DJ, Evans RM 1995). There are three known PPAR isotypes, namely, alpha, beta and gamma. PPAR $\gamma$  controls the expression of genes that are involved in the regulation of glucose metabolism, adipogenesis and insulin sensitization and to the processes of carcinogenesis and inflammation (Kersten S et al 2000). Known synthetic ligands of PPAR $\gamma$  include the thiazolidinediones (TZDs) class of compounds, which has been demonstrated clinically to be efficacious in the treatment of Type II diabetes in terms of maintaining plasma glucose, preventing the onset of long-term diabetes complications as well as diabetic resistance (Saltiel AR, Olefsky JM 1996). These PPAR $\gamma$  activators have also been developed as anti-proliferative drugs for tumor growth inhibition (Koeffler HP 2003). In addition, the demonstration of their potential anti-inflammatory effects has led to initiation of treatment trials in models of inflammatory diseases and in patients with inflammatory bowel disease (Wada K et al 2001). On the other hand, many studies have demonstrated that PPAR $\alpha$  regulates genes involved in lipid metabolism such as fatty acid uptake (fatty acid binding protein (FATP)),  $\beta$ -oxidation (acyl-CoA oxidase) and  $\omega$ -oxidation (cytochrome P450) (Gould Rothberg BE et al 2001). In line with these observations, the fibrates class of drugs including fenofibrate and gemfibrozil, which are pharmacologic activators of PPAR $\alpha$ , lower serum triglycerides (TG) and increase HDL cholesterol in patients with hyperlipidemia. PPAR $\alpha$  has also been shown to down-regulate apolipoprotein C-III, a protein which inhibits TG hydrolysis by lipoprotein lipase. This activity of PPAR $\alpha$  ligands further contributes to the lipid-lowering effect (Haubenwalln r S et al 1995). Moreover, fibrate intervention in cardiovascular disease is likely beneficial

because systemic TG reduction could result in less fat accumulation in the heart and at the vessel wall. Therefore, PPAR $\alpha$  activators are useful in treating conditions such as dyslipidaemia, atherosclerosis, coronary heart disease, obesity and polycystic ovarian disease.

5

The many effects of PPAR activators in the regulation of glucose and lipid metabolism have prompted the use of these agents in the treatment of type II diabetes, dyslipidaemia, atherosclerosis, obesity and polycystic ovarian disease. Furthermore, its anti-proliferative effect on breast, prostate and colon cancer has been exploited in the treatment of these cancers. Recently, another therapeutic indication for PPAR activators has been added due to its anti-inflammatory effect to combat inflammatory diseases such as colitis and inflammatory bowel disease.

10 In view of the vast therapeutic uses of PPAR activators, it would be advantageous to identify compounds that have PPAR $\gamma$  and PPAR $\alpha$  agonist or synergistic activity that can be used therapeutically.

*Hormones and hormonal modulators*

20 Testosterone, estrogens, progesterone and glucocorticoids are steroidal hormones in the body that play a vital role in the human reproductive and immune system by binding to the AR, ER, PR and GR respectively. Testosterone mediates male morphogenesis *in utero*, gametogenesis and prostate growth at puberty, and the development of prostate cancer in older men. Meanwhile, progesterone together with estrogen, acts on the central nervous system, ovary and uterus to initiate changes in the female reproductive tract that are critical for fertilization of the oocyte, implantation of the embryo and maintenance of pregnancy. On the other hand, glucocorticoids are involved in numerous physiological processes such as endocrine homeostasis, stress responses, lipid metabolism, inflammation and

30

apoptosis. The multiple effects regulated by these hormones on their respective hormonal receptors have provided an opportunity to use synthetic androgens, estrogens, progestins and glucorticoids with selective affinities to these receptors to exert desired effects. However, the use of these agents have been thwarted by the numerous accounts of side effects ranging from increasing risks of cancers, suppression of the hypothalamic-pituitary axis and growth retardation to osteoporosis. The current challenge therefore is to develop agents that maintain their efficacy and are beneficial but have reduced side-effects. Thus, it is beneficial to identify chemical compounds or natural products, which augment and synergize the activity of existing steroidal hormones in the body to prevent and decrease side-effects previously experienced with exogenous agents. Such entities are needed for the development of therapeutic compositions and/or nutraceutical applications.

15

*Uses of extracts and compounds from Astragalus Membranaceus (HQ)*

Astragalus belongs to the Fabaceae (pea) family. Among the 125 different Astragalus species, the dried root of Astragalus membranaceus is one that is most commonly used medicinally. In China, the dried root of Astragalus membranaceus is commonly known as Huang qi (HQ). It is used traditionally to reinforce qi and strengthen the superficial resistance, promote the discharge of pus and the growth of new tissue. Classically it is indicated for diabetes mellitus, albuminuria in chronic nephritis, edema due to deficiency of qi, anaemia and abscesses (Pharmacopoeia of the People's Republic of China, 1997).

25

Astragalus membranaceus (HQ) has been used in combination with other anti-virals or herbs for the treatment of viral diseases (Qian Z et al 1990). It has also been studied as an adjunct to chemotherapy to decrease the side effects and as a potential anticancer agent (Sun A, Chiang CP 2001). In

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addition, some studies have been conducted to determine the usefulness of Astragalus membranaceus aqueous extract in preventing diabetes (Lu J et al 1999). Many others have studied the use of Astragalus membranaceus aqueous extract in the treatment of heart diseases (Ma J et al 1998, Yang Y et al 1990). Ethanol extracts of the roots of Astragalus membranaceus have been described by He ZQ and Wang BQ, 1990. n-butanol extracts of the root of Astragalus membranaceus are also described in Ma X, et al., 2003. In conclusion, the potential clinical benefits documented to date ranges from viral diseases, heart diseases, diabetic and inflammatory syndromes to cancer.

Astragalus membranaceus root's medicinal properties have been attributed to its polysaccharides which include the Astramembrannins and Astragalosides I to IV (Keiji K et al 1997, Zhang W 1997). Similarly, the flavonoids such as afromorsin, calycosin, formononetin and odoratin isolated from this herb could account for the herbs' antioxidant and anti-inflammatory properties (Yoshiaki S et al 1997, Shizuo T et al 1998). Other components isolated include gamma-aminobutyric acid, daucosterol, beta-sitosterol, 4-aminobutanoic acid, dimethyl 4,4'-dimethoxy-5,6:5',6'-bis(methylenedioxy)biphenyl-2,2'-dicarboxylate, palmitic acid, linoleic acid, linolenic acid, folic acid, betaine, praline, asparamide, canavanine and gamma-aminobutyric acid (Zhong Yao Xian Dai Yan Jiu 1993; Phytochemical Dictionary of the Leguminosae 1994). The concentrations of all these compounds could vary according to cultivation conditions (Ma XQ et al 2002). Ten constituents isolated from ethanol extracts of the roots of Astragalus membranaceus have been described by He ZQ and Wang BQ, 1990. The ten constituents are palmitic acid (I), lupeol (II),  $\beta$ -sitosterol (III), asteragaloside IV (IV), 3S-3-(-) mucronulatol-7-O- $\beta$ -D-glucopyranoside (V), daucosterol (VI), dimethyl 4,4-dimethoxy-5, 6, 5', 6'-dimethylenedioxybiphenyl-2, 2-dicarboxylate (VII), asparagine (VIII),  $\gamma$ -aminobutyric acid (IX) and sucrose (X).

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Calycosin, one of the major compounds isolated from *Astragalus membranaceus* (Lin LZ et al 2000) can also be isolated from other sources  
5 such as *Glycyrrhiza pallidiflora* hairy root cultures (Li W et al 2002). Apart from natural sources, calycosin can also be chemically synthesized (Jain AC et al 1969). Studies have shown that the anti-giardial activity of *Machaerium aristulatum* is due to the bioactivity of calycosin and formononetin isolated thereof (Elschly HN et al 1999). In addition, the antiplasmodial activity of  
10 *Andira inermis* was also accounted for by calycosin (Kraft C et al 2000).

Formononetin, on the other hand, which undergoes microsomal metabolism in the human liver, to calycosin (Tolleson WH et al 2002) has been better studied. Formononetin has been widely studied for its estrogenic (Miksicek RJ  
15 1994, Willard ST and Frawley LS 1998, Kuiper GGJM et al 1998, Morito K et al 2002) and antioxidative capacities (Pool-Zobel BL et al 2000).

Calycosin and formononetin have also been obtained by n-butanol extracts of of the root of *Astragalus membranaceus* (Ma X, et al., 2003, J. Chromatogr.,  
20 Apr. 11; 992(1-2):193-7).

Calycosin and formononetin belong to the family of isoflavones, which includes genistein among its members. Genistein's properties as a potent phytoestrogen have been widely researched upon. Epidemiological studies  
25 have linked the low incidence of prostate cancer among Asian men to their high soy genistein diet. However, the androgenic effects of genistein remain to be elucidated.

Recently, increasing interest has been directed to the use of herbal or natural-  
30 source remedies. Additionally, medicinal substances derived from natural



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products can provide commercial or industrial opportunities for nutraceutical formulations or as food supplements. Finally, compounds identified as the active ingredients in natural products form an important basis for pharmaceutical research.

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However, even if the extracts of *Astragalus membranaceus* and other herbs and flavonoid compounds isolated therefrom have been investigated, no studies have been reported regarding either the effects of extracts of *Astragalus membranaceus*, or of flavonoid compounds isolated therefrom, like calycosin and formononetin, on steroid/nuclear receptors (including PPAR receptors).

10

Summary of the invention

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The present inventors have found that extracts of herb, in particular *Astragalus membranaceus* (herein after indicated as "HQ") and/or flavonoid compounds isolated therefrom are useful in the treatment of steroid/nuclear receptors-related physiological conditions.

20 According to one aspect, the invention provides a hexane, dichloromethane or chloroform extract of *Astragalus membranaceus* (HQ), and also to non-aqueous extract of *Astragalus membranaceus* (HQ), provided that the HQ extract is not an ethanol or butanol HQ extract. The characteristic of such an extract is that it is enriched in PPAR bioactives.

25 The invention also provides a composition comprising at least one of the following:

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5 a hexane extract of Astragalus membranaceus (HQ); a dichloromethane extract of Astragalus membranaceus (HQ); a chloroform extract of Astragalus membranaceus (HQ); or a non-aqueous extract of Astragalus membranaceus (HQ), provided that the HQ extract is not an ethanol or butanol HQ extract.

The composition may further comprise at least one flavonoid compound.

10 In particular, the invention provides a pharmaceutical or dietary supplement composition for the treatment of steroid/nuclear receptor-mediated physiological conditions comprising an effective amount of at least one extract selected from the group consisting of:

15 a hexane extract of Astragalus membranaceus (HQ); a dichloromethane extract of Astragalus membranaceus (HQ); a chloroform extract of Astragalus membranaceus (HQ); and a non-aqueous extract of Astragalus membranaceus (HQ) provided that the HQ extract is not an ethanol or butanol HQ extract.

The pharmaceutical or dietary supplement composition may also be prepared in the form of food product or beverage.

20 Also provided is a commercial package comprising any form of the above composition and instructions for use.

25 According to another aspect, the invention provides a method for the treatment of steroid/nuclear (s/n) receptor-mediated physiological conditions comprising administering to a subject an effective amount of at least one extract selected from the group consisting of:

a hexane extract of Astragalus membranaceus (HQ); a dichloromethane extract of Astragalus membranaceus (HQ); a chloroform extract of

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Astragalus membranaceus (HQ); and a non-aqueous extract of Astragalus membranaceus (HQ) provided that the HQ extract is not an ethanol or butanol HQ extract.

- 5 PPAR $\gamma$ -mediated physiological conditions are diabetes, cancer, polycystic ovarian disease, or inflammatory bowel disease.

PPAR $\alpha$ -mediated physiological conditions are dyslipidaemia, atherosclerosis, coronary heart disease or obesity.

10

- According to a further aspect, the inventors have found that flavonoid compounds, for example isoflavonoid compounds isolated from the above mentioned herb extracts, can also be useful for the treatment of PPAR-mediated physiological conditions. Accordingly, the invention also provides a method for the treatment of steroid/nuclear (s/n) receptor-mediated physiological conditions comprising administering to a subject an effective amount of calycosin and/or formononetin, provided that the treatment of cancer of prostate and breast is excluded.

- 15 20 According to another further aspect, the invention provides a method for augmenting or synergising the activity of ligands of steroid/nuclear receptors comprising administering to a subject an effective amount of at least one of:

- 25 i) an extract of Astragalus membranaceus (HQ);  
ii) a flavonoid compound;  
iii) a composition according to any embodiment of the invention;  
in the presence of at least one ligand of steroid/nuclear receptors.

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The steroid/nuclear receptor ligand may be an anti-diabetic or hypolipidaemic drug. The ligand can be a hormone, for example androgen, progestogen or glucocorticoids.

5 In particular, in the method above for augmenting or synergising the activity of ligands of steroid/nuclear receptors:

- 10 a) the ligand is androgen and the subject is or is not under disease or condition of male infertility, chronic bone and muscle mass loss, geriatric andropause, androgen insensitivity syndromes, Klinefelter syndrome or cryptorchidism;
- 15 b) the ligand is progestogen and the subject is or is not under disease or condition of postmenopausal hormone replacement therapy, female infertility, endometrial cancer, secondary amenorrhea, functional uterine bleeding or menstrual disorders; and/or
- 20 c) the ligand is glucocorticoid and the subject is or is not under disease or condition of autoimmune diseases, arthritis, post-operative graft rejection or asthma.

25 In the method above, flavonoid compounds can also be used. For example, suitable flavonoid compounds are calycosin, formononetin, genistein, afromorsin, biochanin A, coumestrol, odoratin, daidzein and the like.

30 In particular, the method for augmenting or synergising the activity of ligands of steroid/nuclear receptors is a method for the treatment of PPAR $\gamma$ -mediated conditions selected from the group consisting of: diabetes, atherosclerosis, polycystic ovarian disease, hormone-dependent cancer of the breast, colon or prostate, or inflammatory bowel disease.

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According to another aspect, the invention provides a method for preparing an extract of *Astragalus membranaceus*, comprising treating *Astragalus membranaceus* plant or part of the plant with hexane, dichloromethane and/or chloroform.

According to another further aspect, the invention provides a method for screening and/or discovering compounds or extracts capable of augmenting or synergising the activity of ligands of steroid/nuclear receptors comprising;

contacting or mixing a compound or extract to a composition comprising at least one ligand of steroid/nuclear receptors, and determining the augment or synergism of the activity of the ligand.

In particular, the ligand is bound to a pocket of the steroid/nuclear receptors and the compound or extract does not bind specifically to the ligand-binding pocket of the steroid/nuclear receptor.

The ligand may be an endogenous androgen, progestogen, glucocorticoid and/or a PPAR agonist.

#### Brief description of the drawings

Figures 1A and 1B: Dose response effect of HQ extract on PPAR $\alpha$  and PPAR $\gamma$  activity. HeLa cells expressing chimeric GAL4- DNA-binding domain and the LBDs of (A) PPAR $\gamma$  and (B) PPAR $\alpha$  were exposed to increasing doses of an ethanolic extract of HQ. Ligands that bind to these chimeric Gal4<sub>DBD</sub>-PPAR<sub>LBD</sub> receptors result in the activation of a co-transfected reporter gene, comprising five copies of UASg cloned upstream of a Luciferase gene. PPAR bioactivity was measured and compared to the reference PPAR $\gamma$

ligands 15-deoxy- $\delta$ 12,14, Prostaglandin J2 (15dPGJ2) and Pioglitazone in (A), and to the reference PPAR $\alpha$  ligand WY14643 in (B). PPAR activity of the test materials are expressed as fold increase in luciferase activity compared to cells exposed to vehicle only. Each data point is the mean  $\pm$  SEM

- 5 Figures 2A and 2B: Synergistic effect of genistein on androgen action. HeLa cells expressing the human androgen receptor, and a luciferase reporter gene driven by androgen response elements were exposed to increasing doses of (A) genistein in the presence, or absence, of a fixed concentration of DHT ( $10 \times 10^{-9}$  M); or (B) DHT in the presence, or absence, of a fixed concentration ( $3 \times$   
10  $10^{-6}$  M) of genistein. AR activity of the test materials is expressed as fold increase in luciferase activity compared to cells exposed to vehicle only. Each data point is the mean  $\pm$  SEM.

Description of the invention

- 15 Bibliographic references mentioned in the present specification are for convenience listed in the form of a list of references and added at the end of the examples. The whole content of such bibliographic references is herein incorporated by reference.

- 20 The present inventors have screened traditional Chinese herbs, that is, herbs traditionally indicated for diabetes mellitus or "thirst-disease" in order to identify activators of steroid/nuclear receptors, in particular PPAR, more in particular the PPAR $\gamma$  and PPAR $\alpha$ , using a cell-based reported gene system.
- 25 From this screening, Astragalus membranaceus (also known as "Huang Qi") non-aqueous extracts were found to exhibit novel steroid/nuclear receptors, in particular PPAR $\gamma$  and PPAR $\alpha$ , agonist activity.

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Accordingly, the invention provides non-aqueous extracts of *Astragalus membranaceus* (HQ), provided that the HQ extract is not an ethanol or butanol HQ extract. In particular, the invention is addressed to a hexane, dichloromethane or chloroform extract of *Astragalus membranaceus* (HQ).

- 5 These extracts have proved to be enriched in steroid/nuclear (s/n) receptor bioactives, in particular, in PPAR $\gamma$  and PPAR $\alpha$  bioactives.

Being strong activators of the steroid/nuclear (s/n) receptor family, and in particular of the peroxisome proliferator-activated receptor (PPAR) family of receptors, the above mentioned *Astragalus membranaceus* extract may  
10 therefore be useful for the treatment of conditions mediated through s/n receptor family such as diabetes, dyslipidaemia, atherosclerosis, obesity, polycystic ovarian disease, hormone-dependent cancers of the breast, colon and prostate, and inflammatory diseases.

"Steroid/nuclear receptor" refers to ligand-activated transcription factors that  
15 activate genes by binding to the hormone response elements located in their enhancer or promoter regions. Members of the steroid/nuclear receptor superfamily include the androgen receptor (AR), progesterone receptor (PR), glucocorticoid receptor (GR), estrogen receptor (ER) and peroxisome proliferator-activated receptor (PPAR). PPAR receptors include PPAR $\gamma$  and  
20 PPAR $\alpha$ .

The invention also relates to novel methods to measure and standardize the bioactivity of *Astragalus membranaceus* and its extracts based on the s/n receptor, in particular on the PPAR, activity.

25 The extracts according to the invention can be prepared from plant or parts of plants of *Astragalus membranaceus*, in particular, from the roots of the plants. The extracts can be prepared according to any standard method known in the

art, for example those described by He ZQ and Wang BQ, 1990 and by Ma X, et al., 2003. In the method of the present invention, dried roots of the plants are preferably milled into small pieces and then extracted with a non-aqueous solvent, preferably with hexane, dichloromethane and/or chloroform. Methods  
5 for preparation of the extracts according to the invention are disclosed in the examples.

Reference to the *Astragalus membranaceus* herb also encompasses natural and artificially created variants of *Astragalus membranaceus*. An artificially created variant includes a variant made by selective breeding or by genetic  
10 manipulation. A part of *Astragalus membranaceus* includes the bark, leaf, stem, root, flower, seed or other reproductive or vegetative portion of the plant or a combination of two or more of these portions.

The inventors have found that the extracts according to the invention are enriched with flavonoid compounds. In particular, isoflavonoid compounds like  
15 calycosin and formononetin.

The inventors have found that calycosin is a potent steroid/nuclear receptors agonist, in particular a potent PPAR $\gamma$  agonist. Calycosin may therefore be useful for the treatment of conditions mediated through PPAR $\gamma$  such as diabetes, atherosclerosis, polycystic ovarian diseases, hormone-dependent  
20 cancers of the breast, colon and prostate, and inflammatory bowel diseases.

The inventors have also found that formononetin is a potent steroid/nuclear receptors agonist, in particular a potent PPAR $\alpha$  and PPAR $\gamma$  agonist. Formononetin may therefore be useful for the treatment of conditions  
25 mediated through both PPAR $\gamma$  and PPAR $\alpha$  such as diabetes, dyslipidaemia, atherosclerosis, obesity, polycystic ovarian disease, hormone-dependent cancers of the breast, colon and prostate, and inflammatory bowel diseases.



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Other flavonoid compounds suitable as agonists of steroid/nuclear receptors are also within the scope of the present invention.

5 Calycosin, formononetin and other flavonoid compounds can be prepared according to any standard method known in the art. Methods of preparation of calycosin and formononetin are disclosed in the examples. Calycosin, formononetin and other suitable flavonoid compounds can also be chemically synthesized according to standard methods.

10 The term "agonist", as used herein, refers to at least one extract or flavonoid compounds according to the invention, a mix thereof, or a composition comprising the extract(s) or flavonoid compound(s) according to the invention which, when bound to any steroid/nuclear receptor, activates the steroid/nuclear receptor. The term "modulate", as used herein, refers to a  
15 change or an alteration in the biological activity of any steroid/nuclear receptor. Modulation may be an increase or a decrease in protein activity, a change in binding characteristics, or any other change in the biological, functional or immunological properties of the steroid/nuclear receptor.

20 The present invention also relates to composition or formulation comprising at least one extract and/or at least one flavonoid compound according to the invention.

25 The composition according to the invention comprises a part of Astragalus membranaceus or a botanical or horticultural equivalent thereof or an extract thereof or chemical or functional equivalents of the extract or a purified or chemical synthetic form of one or more components of the extract wherein said composition is effective in modulating a steroid/nuclear receptors-mediated condition in a subject. The composition of the present invention may  
30 also be referred to as a herbal composition, natural medicine, a formulation

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and/or a formulation or composition with medicinal or ameliorating properties. The terms "formulation" and "composition" are herein used interchangeably.

5 The term composition includes an extract of *Astragalus membranaceus* or parts thereof in liquid, solid or aerosol or vapour form. In particular, the formulation comprises a non-aqueous extract of *Astragalus membranaceus*. More in particular, the extract is not an ethanolic and butanolic extract. Preferably, the extract is a hexane, dichloromethane or chloroform extract. The formulation may also comprise a flavonoid compound which is deemed  
10 suitable by any skilled person in the art. For example, calycosin, formononetin, genistein, afomorsin, biochanin A, coumestrol, odoratin, diadzein and the like. However, the list of suitable flavonoid compounds is not limited to these compounds. Any suitable flavonoids, for example any suitable flavonoids described in a public or private flavonoid or isoflavonoid database  
15 (see below) is within the scope of the present invention.

The composition may therefore comprise of at least one of the following: a hexane extract of *Astragalus membranaceus* (HQ); a dichloromethane extract of *Astragalus membranaceus* (HQ); a chloroform extract of *Astragalus membranaceus* (HQ); a non-aqueous extract of *Astragalus membranaceus* (HQ), provided that the HQ extract is not an ethanol or butanol HQ extract; or  
20 a flavonoid compound.

In particular, the invention relates to a pharmaceutical or dietary supplement composition for the treatment of steroid/nuclear (s/n) receptor-mediated physiological conditions comprising of an effective amount of at least one  
25 extract selected from the group consisting of: a hexane extract of *Astragalus membranaceus* (HQ); a dichloromethane extract of *Astragalus membranaceus* (HQ); a chloroform extract of *Astragalus membranaceus* (HQ); and a non-aqueous extract of *Astragalus membranaceus* (HQ) provided

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that the HQ extract is not an ethanol or butanol HQ extract, and further at least one flavonoid compound.

In particular, s/n receptor-mediated physiological condition is a PPAR-mediated disease and is diabetes, cancer, polycystic ovarian disease, inflammatory bowel disease, dyslipidaemia, atherosclerosis, coronary heart disease or obesity.

The subject composition or formulation in the form of Astragalus membranaceus extract may be administered in any suitable form including ingestion, topical application or via vapour or aerosol means. The term "ingestion" includes administering the herb or extract via edible or liquid means.

For in vivo applications, the extract or plant parts can be incorporated into a pharmaceutically acceptable formulation including a carrier or diluent for administration. Those skilled in the art will readily determine suitable dosage levels. Exemplary pharmaceutically acceptable carriers include carriers suitable for oral, intravenous, subcutaneous, intramuscular, and the like administration. Administration in the form of creams, lotions, tablets, dispersible powders, granules, syrups, elixirs, sterile aqueous or non-aqueous solutions, suspensions or emulsions, and the like, are contemplated. For the preparation of oral liquids, suitable carriers include emulsions, solutions, suspensions, syrups and the like, optionally containing additives such as wetting agents, emulsifying and suspending agents, sweetening, flavouring and perfuming agents, and the like. For the preparation of fluids for parenteral administration, suitable carriers include sterile aqueous or non-aqueous solutions, suspensions or emulsions. Examples of non-aqueous solvents or vehicles are propylene glycol, polyethylene glycol, vegetable oils, such as olive oil and corn oil, gelatin, and injectable organic esters such as ethyl

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oleate. Such forms of dosage may also contain additional ingredients such as preserving, wetting, emulsifying and dispersing agents. Formulations may be sterilized, for example, by filtration through a bacteria-retaining filter, by incorporating sterilizing agents into the compositions, by irradiating the compositions, or by heating the compositions. They can also be manufactured as solutions in sterile water, or in some other sterile injectable medium, immediately before use.

"Suitable dosage levels" include reference to levels sufficient to provide circulating concentrations high enough to effect activation of steroid/nuclear receptor(s).

The composition according to the invention may comprise of an effective amount of 1 to 1000  $\mu\text{g/mL}$  ( $1$  to  $1000 \times 10^{-6}$   $\text{g/mL}$ ) of extract (in plasma) for the treatment of s/n receptor-mediated physiological conditions. In particular, the composition may comprise of an effective amount of 1 to 1000  $\mu\text{g/mL}$  of extract (in plasma) for the treatment of PPAR $\alpha$ - and/or PPAR $\gamma$ -mediated physiological conditions.

The invention also provides a food product and/or beverage comprising of the composition, pharmaceutical composition or dietary supplement composition of the invention.

The invention further provides a commercial package comprising of the any composition according to the invention.

According to another aspect, the invention provides a method for the treatment of steroid/nuclear (s/n) receptor-mediated physiological conditions comprising administering to a subject an effective amount of at least one extract selected from the group consisting of: a hexane extract of *Astragalus membranaceus* (HQ); a dichloromethane extract of *Astragalus*

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membranaceus (HQ); a chloroform extract of Astragalus membranaceus (HQ); and a non-aqueous extract of Astragalus membranaceus (HQ), provided that the HQ extract is not an ethanol or butanol HQ extract.

- 5 As indicated in the example, considering 100% absorption in an adult human with a normal blood volume of 5 L, doses of about 5 to 5000 mg (5 to 5000 x  $10^{-3}$  g) of dried extract are required for a clinical effect.

10 Accordingly, the method comprises treating the subject with about 1 to 1000  $\mu$ g of extract per mL of blood volume.

More in particular, the invention provides a method comprising treating the subject with 5 to 5000 mg of dried extract for the treatment of PPAR $\alpha$ - and/or PPAR $\gamma$ -mediated physiological conditions. Further, the invention provides a  
15 method comprising treating the subject with 1 to 1000  $\mu$ g of extract per mL of blood volume for the treatment of PPAR $\alpha$ - and/or PPAR $\gamma$ -mediated physiological conditions.

20 More particular values and doses of extracts of the invention and flavonoid compounds are disclosed in the examples.

In particular, in the method of the invention, the s/n receptor-mediated physiological condition is a PPAR $\gamma$ -mediated physiological condition and is diabetes, cancer, polycystic ovarian disease, or inflammatory bowel disease  
25 or is a PPAR $\alpha$ -mediated physiological condition and is dyslipidaemia, atherosclerosis, coronary heart disease or obesity.

The invention further relates to a method for the treatment of steroid/nuclear (s/n) receptor-mediated physiological conditions comprising administering to a

subject an effective amount of calycosin and/or formononetin, provided that the treatment of cancer of prostate and breast is excluded.

5 In particular, the s/n receptor-mediated physiological condition is a PPAR-mediated physiological condition and is diabetes, atherosclerosis, inflammatory bowel disease, polycystic ovarian disease, obesity, dyslipidaemia and/or the cancer of colon.

10 The present inventors have further found, and it represents a further object of the present invention, Astragalus membranaceus extract has a synergistic activity on known ligands of s/n receptors, in particular, on known PPAR ligands. Accordingly, Astragalus membranaceus extract can be used as nutraceutical agents to augment the effects of standard drugs, for example anti-diabetic and hypolipidaemic drugs.

15 As used herein, "synergistic activity" or the equivalent "synergistic effect" refers to any effect of two chemicals acting together which is greater than the simple sum of their effects when acting alone: such chemicals are said to show synergism. More in particular, a first chemical is at least one astragalus  
20 membranaceus extract, flavonoid compound, or mixer thereof, or a composition comprising the astragalus membranaceus extract(s) or flavonoid compound(s) of the invention. The second chemical is any known ligand of s/n receptors.

25 The inventors have also found that isoflavonoid compounds isolated from extracts of Astragalus membranaceus augment and synergize the activity of ligands of s/n receptors. In particular, the activity of hormones acting through the PPAR, AR, PR, and GR. Those skilled in the art will realize that this novel  
30 augmentative ability of extracts from Astragalus membranaceus can be utilized to boost the effects of endogenous or exogenous PPAR $\alpha$  and PPAR $\gamma$

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ligands, androgens, progestogens and glucocorticoids in diseases or conditions due to the deficiency of these hormones. Examples of conditions requiring an augmentation of activity by androgens are male infertility, chronic bone and muscle mass loss, geriatric andropause, androgen insensitivity syndromes, klinefelter syndrome and cryptorchidism; by progestogens are postmenopausal hormone replacement therapy, female infertility, endometrial cancer, secondary amenorrhea, functional uterine bleeding as well as related menstrual disorders (caused by hormonal deficiency or imbalance); by glucocorticoids are autoimmune diseases, arthritis, post-operative graft rejection and asthma. It can also be used to augment the effects of these hormones in normal people where such boosting effects are desired.

The invention is however not limited to flavonoid compounds isolated from plant, part of plant or extracts of *Astragalus membranaceus*, but encompasses any suitable flavonoid compound isolated from different sources or chemically synthesized.

In particular, calycosin can augment the activity of pioglitazone, WY14643, dihydrotestosterone, progesterone and estradiol on the PPAR $\gamma$ , PPAR $\alpha$ , AR, PR and ER $\alpha$  signalling systems. Those skilled in the art will realize that this novel augmentative ability of calycosin can be utilized to boost the effects of endogenous or exogenous PPAR $\alpha$  and PPAR $\gamma$  ligands, androgens, estrogens, and progestogens in diseases due to the deficiency of these hormones. Examples of such diseases or conditions requiring an augmentation of activity by androgens are male infertility, chronic bone and muscle mass loss, geriatric andropause, androgen insensitivity syndromes, klinefelter syndrome and cryptorchidism; by progestogens and estrogens are postmenopausal hormone replacement therapy, female infertility, endometrial cancer, secondary amenorrhea, functional uterine bleeding as well as related menstrual disorders (caused by hormonal deficiency or imbalance). It can

also be used to augment the effects of these hormones in normal people where such boosting effects are desired.

Formononetin can augment the effects of WY14643, dihydrotestosterone, progesterone and Estradiol on the PPAR $\alpha$ , AR, PR and ER $\alpha$  signaling systems. Those skilled in the art will realize that this novel augmentative ability of formononetin can be utilized to boost the effects of endogenous or exogenous androgens, estrogens, progestogens and PPAR $\alpha$  ligands in diseases due to a deficiency of these hormones. Examples of such diseases requiring an augmentation of activity by androgens are male infertility, chronic bone and muscle mass loss, geriatric andropause, androgen insensitivity syndromes, klinefelter syndrome and cryptorchidism; by progestogens are postmenopausal hormone replacement therapy, female infertility, endometrial cancer, secondary amenorrhea, functional uterine bleeding as well as related menstrual disorders (caused by hormonal deficiency or imbalance). It can also be used to augment the effects of these hormones in normal people where such boosting effects are desired.

The inventors have also found that that flavonoids other than calycosin and formononetin, such as genistein, daidzein, and the like, have the novel ability to augment the activity of pioglitazone, WY14643, dihydrotestosterone, progesterone and estradiol on the PPAR $\gamma$ , PPAR $\alpha$ , AR, PR and ER $\alpha$  signalling systems. Those skilled in the art will realize that this novel augmentative ability of flavonoids can be utilized to boost the effects of endogenous or exogenous PPAR $\alpha$  and PPAR $\gamma$  ligands, androgens, estrogens, and progestogens in diseases or conditions due to a deficiency of these hormones. Examples of such diseases and conditions requiring an augmentation of activity by androgens are male infertility, chronic bone and muscle mass loss, geriatric andropause, androgen insensitivity syndromes, klinefelter syndrome and cryptorchidism; by progestogens and estrogens are



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postmenopausal hormone replacement therapy, female infertility, endometrial cancer, secondary amenorrhea, functional uterine bleeding as well as related menstrual disorders (caused by hormonal deficiency or imbalance). It can also be used to augment the effects of these hormones in normal people  
5 where such boosting effects are desired.

Accordingly, the invention relates to a method for augmenting or synergising the activity of ligands of steroid/nuclear receptors comprising contacting at least one of: i) an extract of Astragalus Membranaceus (HQ); ii) a flavonoid  
10 compound; or iii) a composition according to any embodiment of the invention; in the presence of at least one ligand of steroid/nuclear receptors.

According to one embodiment, the invention relates to a method for augmenting or synergising the activity of ligands of steroid/nuclear receptors  
15 comprising administering to a subject an effective amount of at least one of: i) an extract of Astragalus Membranaceus (HQ); ii) a flavonoid compound; or iii) a composition according to any embodiment of the invention; in the presence of at least one ligand of steroid/nuclear receptors.

20 In particular, in the method of the invention the ligand is a PPAR ligand. The ligand is for example an anti-diabetic or hypolipidaemic drug, for example, a hormone. The hormone can be an androgen, progestogen, estrogen or glucocorticoid.

25 In particular, the invention relates to a method wherein: a) the ligand is androgen and the subject is or is not under disease or condition of male infertility, chronic bone and muscle mass loss, geriatric andropause, androgen insensitivity syndromes, klinefelter syndrome or cryptorchidism; b) the ligand is progestogen or estrogen and the subject is or is not under disease or  
30 condition of postmenopausal hormone replacement therapy, female infertility,

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endometrial cancer, secondary amenorrhea, functional uterine bleeding or menstrual disorders; and/or c) the ligand is glucocorticoid and the subject is or is not under disease or condition of autoimmune diseases, arthritis, post-operative graft rejection or asthma.

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Any suitable known or not yet discovered flavonoid compound, and in particular isoflavonoid compound, is within the scope of the present invention. A number of flavonoids, and in particular isoflavonoids, is for example described in USDA-Iowa State University Database on the Isoflavone Content of Foods, Release 1.3 – 2002, and in USDA Database for the Flavonoid Content of Selected Foods – 2003

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(<http://www.nal.usda.gov/fnic/foodcomp/Data/isoflav/isoflav.html>) and

(<http://www.nal.usda.gov/fnic/foodcomp/Data/Flav/flav.html>) (both of them herewith incorporated by reference). It will be evident to any skilled person how to choose the suitable flavonoid compound for the purpose of the present invention. For example, flavonoid compounds for the purpose of the present invention may be (but are not limited to) calycosin, formononetin, genistein, afromorsin, biochanin A, coumestrol, odoratin, diadzein and the like.

15

More in particular, the method is for the treatment of PPAR $\gamma$ -mediated conditions selected from the group consisting of: diabetes, atherosclerosis, polycystic ovarian disease, hormone-dependent cancer of the breast, colon or prostate, or inflammatory bowel disease.

20

The invention also relates to new method(s) to augment the action of steroid/nuclear receptors such as AR, PR GR, ER and PPAR that do not involve specific ligand binding to the ligand-binding pocket of steroid receptors. This finding allows the development of new drug discovery screening platforms to search for compounds that may augment the action of liganded-steroid receptors.

25

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Accordingly, the invention provides a method for screening and/or discovering compounds or extracts capable of augmenting or synergising the activity of ligands of steroid/nuclear receptors comprising; contacting (or mixing with) a  
5 compound or extract to a composition comprising at least one ligand of steroid/nuclear receptors, and determining the augment or synergism of the activity of the ligand. In particular, the ligand is bound to a pocket of the steroid/nuclear receptors and the compound or extract does not bind specifically to the ligand-binding pocket of the steroid/nuclear receptor. More  
10 in particular, the ligand is an endogenous androgen, progestogen, glucocorticoid and/or a PPAR agonist. Such a screening and/or discovery method is carried out according to standard methods known to those skilled in the art.

15 The present invention also enables the design of synthetic or natural compounds related to genistein, daidzein, calycosin, or formononetin and the like that have the above agonistic and synergistic effects.

### Examples

20

The present invention is not limited to the embodiments described. Many variations are possible within the scope of the invention as will be clear to a skilled reader. In an effort to discover and characterize herbs with PPAR activity, a reporter gene assay system (as provided in detail below) driven by  
25 a chimeric PPAR receptor was used.

#### Example 1: Chimeric PPAR $\alpha$ and PPAR $\gamma$ receptors for detecting PPAR activators

In an example of such a reporter gene assay, a plasmid containing chimeric Gal4<sub>DBD</sub>-PPAR<sub>LBD</sub> receptor cDNA was constructed by excising the ligand

binding domain (LBD) of full length PPAR $\gamma$  and PPAR $\alpha$  and ligating the resulting fragments in frame to the Gal4-DNA binding domain (DBD) of *Saccharomyces cerevisiae* in a pM vector (Clontech). Specifically, to construct Gal4<sub>DBD</sub>-PPAR $\gamma$ <sub>LBD</sub> plasmid, pSG5 expression vector (Stratagene) containing full length PPAR $\gamma$  was excised with Rsa I and blunt ligated to Hind III site of pMGal4<sub>DBD</sub> expression plasmid (Clontech). To construct Gal4<sub>DBD</sub>-PPAR $\alpha$ <sub>LBD</sub> plasmid, pSG5 expression vector (Stratagene) containing full length PPAR $\alpha$  was excised with BstU I and BamH I and blunt-end ligated in frame to BamH I site of pMGal4<sub>DBD</sub> expression plasmid (Clontech). The resulting plasmids, when expressed in HeLa cells, resulted in the production of corresponding Gal4<sub>DBD</sub>-PPAR<sub>LBD</sub> proteins. Ligands that bind to these chimeric PPAR receptors cause the activation of a co-transfected reporter gene, comprising five copies of upstream activating sequences for galactose (UASg) cloned in tandem to a Luciferase gene (Olsson O et al 1988). Gal4<sub>DBD</sub> binds very strongly to the exogenous UASg promoter, thereby giving rise to a sensitive and specific assay. To measure PPAR $\gamma$  and PPAR $\alpha$  agonist activity, HeLa cells were grown in 24-well microtiter plates and then transiently co-transfected with the two plasmids (chimeric PPAR receptor and UASg reporter gene) using Lipofectamine (Invitrogen). Cells were exposed to herbal extracts and pure compounds in RPMI 1640 medium, supplemented with 10% charcoal-treated fetal calf serum, 2mM L-glutamine, 0.1mM non-essential amino acids and 1mM sodium pyruvate for 40 hours at 37°C in a 5% carbon dioxide incubator. Concurrently, cells exposed to known PPAR ligands served as positive controls. Replicate wells, exposed to the vehicle solvent (i.e. ethanol or methanol) in which herbal extracts were dissolved, were used as negative controls. After the 40 hr incubation period, cells were rinsed with phosphate buffered saline (PBS), lysis buffer was added, and cell lysates were collected for measurement of luciferase activity using a luminometer. PPAR activity of the herbal extracts/ pure compounds was expressed as fold-increase in luciferase activity as compared to that observed in negative

control cells. All data points are in triplicate. The data points represent the mean of triplicate wells. Luciferase activity in such an assay accurately reflects the activity of any ligands on PPAR $\gamma$  or PPAR $\alpha$ .

5    Example 2: Screening and discovery of herbal extracts that stimulate PPAR activity

Traditional Chinese medical texts and pharmacopoeia were reviewed. Raw herbal material with purported actions against "thirst" or described to "invigorate qi" were purchased from commercial retailers. These herbs are  
10    listed Table 1. Herbs were milled and then macerated with ethanol for 3 days at 37°C, after which the menstruum was filtered with filter paper (11  $\mu$ m pore size). Filtered extracts were dried in a rotary evaporator. Dried extracts were weighed and re-suspended in 100% ethanol to a concentration of  $250 \times 10^{-3}$  g/ml. Each herbal extract was screened for PPAR $\alpha$  and PPAR $\gamma$  activity *in-*  
15    *vitro* at a final concentration of  $250 \times 10^{-6}$  g/ml, using the bioassay described in Example 1. From the preliminary screening, three herbal extracts (*Astragalus membranaceus*, *Trichosantes spp.* and *Atractylis orata*) were found to exhibit PPAR stimulatory activity. Very strong activity was observed with *Astragalus membranaceus*, with the extract stimulating PPAR $\gamma$  and  
20    PPAR $\alpha$  activity 19- and 4-fold higher respectively, compared to controls exposed to vehicle (i.e. ethanol or methanol) only (Table 1). Moderate, but significant, PPAR-stimulatory activity was observed with *Trichosantes spp.* and *Atractylis orata* extracts.

**Table 1:** Screening of herbs for PPAR activity using chimeric Gal4<sub>DBD</sub>-PPAR<sub>LBD</sub> receptor and five copies of UASg cloned upstream of a Luciferase reporter gene in HeLa cells.

Herbal extract (250 x 10 <sup>-6</sup> g/ml)	PPAR <sub>γ</sub> activity (fold increase over vehicle ± SE)	PPAR <sub>α</sub> activity (fold increase over vehicle ± SE)
<i>Astragalus membranaceus</i>	19.6 ± 0.2	4.4 ± 0.1
<i>Trichosantes spp.</i>	3.0 ± 0.1	1.4 ± 0.0
<i>Atractylis orata</i>	2.9 ± 0.2	0.5 ± 0.0
<i>Anemarrhena asphodeloides</i>	1.9 ± 0.1	0.4 ± 0.0
<i>Scrophularia ningpoensis</i>	1.3 ± 0.1	0.8 ± 0.0
<i>Lycium chinense</i>	0.9 ± 0.1	0.5 ± 0.1
<i>Schisandra spp.</i>	1.8 ± 0.1	0.6 ± 0.0

5 Example 3: Astragalus membranaceus (HQ) extract displays PPAR<sub>γ</sub> and PPAR<sub>α</sub> agonist activity

To quantify the activity of this Astragalus membranaceus (HQ) extract against reference compounds, a 100% ethanolic extract of HQ was compared to the physiological PPAR ligand, 15-deoxy- $\delta^{12,14}$ , Prostaglandin J2; the  
10 antidiabetic PPAR<sub>γ</sub> compound, Pioglitazone; and the PPAR<sub>α</sub> ligand, WY14643 in PPAR bioassays. As can be seen in Figure 1(A), PPAR<sub>γ</sub> activity of HQ was first observed at a dose 30 x 10<sup>-6</sup> g/mL, rising to a peak at 300 x 10<sup>-6</sup> g/mL. The activity of HQ at its peak was equivalent to the maximum activity observed with 15DPGJ2, and was half of that observed with  
15 pioglitazone. The EC<sub>50</sub> for HQ, 15DPGJ2 and pioglitazone were 100, 0.5 and 5 x 10<sup>-6</sup> g/mL respectively, indicating that the thanolic extract of HQ was only

about 20 times less potent than pioglitazone, a pure compound currently used for treatment of diabetes mellitus. The bioassay system given in Example 1 was repeated with COS-7 cells in place of HeLa cells and similar results were obtained. Similar dose responses for HQ were also obtained when transient

5 co-transfection of the full-length human PPAR $\gamma$  receptor and PPAR response element (PPRE) cloned upstream of a luciferase reporter gene in HeLa cells. Thus HQ ethanolic extract is an activator of PPAR $\gamma$  and therefore can be used in the treatment of PPAR $\gamma$ -mediated conditions. Based on Fig 1a, doses of HQ ethanolic extract resulting in 30 to 1000  $\times 10^{-6}$  g/mL of HQ in plasma are

10 predicted to have a therapeutic effect. Assuming 100% absorption in an adult human with a normal blood volume of 5 L, doses of 150 to 5000  $\times 10^{-3}$  g of HQ ethanolic extract would be required for a clinical effect. Thus HQ can be used to treat conditions mediated by PPAR $\gamma$  like diabetes, polycystic ovarian disease, cancer, and inflammatory bowel disease.

15 HQ ethanolic extract was also a ligand and activator for PPAR $\alpha$ . As can be seen in Figure 1B, PPAR $\alpha$  activity was first observed at a dose 1  $\times 10^{-6}$  g/mL, rising to a peak at 300  $\times 10^{-6}$  g/mL. The PPAR $\alpha$  activity of HQ at its peak was equivalent to maximal activity observed with the pure agonist, WY14643. Thus although the dose response curve of HQ was shifted to the left

20 compared to WY14643, the EC $_{50}$  for HQ and WY14643 were comparable being 20  $\times 10^{-6}$  g/mL and 4  $\times 10^{-6}$  g/mL respectively. Thus HQ ethanolic extract is also a *bona-fide* activator of PPAR $\alpha$  and therefore can be used in the treatment of PPAR $\alpha$ -mediated conditions. Based on Fig 1B, effective doses of HQ should result in 1 to 1000  $\times 10^{-6}$  g/mL of HQ in plasma to

25 observe a therapeutic effect. Assuming 100% absorption in an adult human with a normal blood volume of 5 L, doses of 5 to 5000  $\times 10^{-3}$  g of HQ would be required for a therapeutic effect. Thus HQ can be used to treat conditions mediated by PPAR $\alpha$  like dyslipidaemia, atherosclerosis, coronary heart disease and obesity.

**Example 4: Synergistic action of HQ extract on the activity of known PPAR ligands**

HQ extract was prepared with ethanol and assayed as described in Example 1. Cells were exposed to increasing concentrations of HQ extract in the presence of fixed concentrations of the reference PPAR ligand, ciglitazone (Table 2). Maximal PPAR<sub>γ</sub> activity was observed at  $3 \times 10^{-6}$  g/mL or  $10 \times 10^{-6}$  M of ciglitazone. In comparison HQ extract was able to stimulate PPAR<sub>γ</sub> activity maximally at a dose of  $300 \times 10^{-6}$  g/mL. HQ was also able to dose dependently increase PPAR<sub>γ</sub> activity of saturating doses of ciglitazone. The PPAR<sub>γ</sub> activity of ciglitazone and HQ was 450% higher than that observed with ciglitazone alone at saturating doses. This indicates that HQ extract contains compounds that act directly or indirectly on sites other than the ligand-binding pocket of the LBD. This ability of HQ extract to increase the activity of PPAR<sub>γ</sub> drugs can be used to boost the effects of these drugs, thereby increasing their effectiveness and allowing lower and less toxic dosages to be used.

**Table 2: PPAR<sub>γ</sub> activity of ciglitazone, HQ and ciglitazone/HQ combination.**  
Data is expressed as a percentage of maximal ciglitazone activity.

Concentration ( $\times 10^{-6}$ g/mL)	Luciferase activity $\pm$ SEM (% ciglitazone $10 \times 10^{-6}$ M)		
	Ciglitazone alone	HQ extract alone	HQ extract + ciglitazone $10 \times 10^{-6}$ M
0.0	$8.54 \pm 1.08$	$6.72 \pm 0.11$	$100 \pm 2.91$
0.1	$15.4 \pm 0.81$	ND	ND
0.3	$21.7 \pm 1.52$	ND	ND
1.0	$55.3 \pm 7.99$	$8.83 \pm 0.54$	$107 \pm 9.88$
3.0	$100 \pm 6.13$	$9.23 \pm 0.87$	$139 \pm 2.81$
10.0	ND	$12.2 \pm 0.67$	$168 \pm 8.13$
30.0	ND	$23.0 \pm 1.19$	$172 \pm 2.45$
100.0	ND	$52.1 \pm 3.03$	$236 \pm 3.70$
300.0	ND	$99.4 \pm 6.69$	$442 \pm 30.7$

ND: Not Determined



Example 5: Agonist effect of HQ on ER $\alpha$  and ER $\beta$  reporter-gene systems

The capacity of HQ extract to activate other steroid receptors, namely AR, PR, GR, ER $\alpha$  and ER $\beta$ , was examined. Plasmids encoding either AR (Brinkmann AO et al., 1989), PR (Vegeto E, 1992) and GR (Muller M et al., 1991) were co-expressed with a reporter gene, (ARE)<sub>2</sub>-Luc, that contains hormone-response elements that are common to all three receptors. The (ARE)<sub>2</sub>-Luc plasmid consist of two repeats of Androgen Response Element (ARE) cloned upstream of the Luciferase Reporter gene in a pGL3-Basic vector (Promega).

ER $\alpha$  and ER $\beta$  activity were assayed using plasmids encoding either ER $\alpha$  or ER $\beta$ , co-transfected with the ERE-LUC reporter gene. To assay estrogen receptor agonist activity, HeLa cells were transiently co-transfected with two plasmids (Tcherepanova et al., 2000) using Lipofectamine. The first plasmid comprised DNA encoding human estrogen receptor (either ER $\alpha$  or ER $\beta$ ), and the second plasmid comprised an estrogen-driven reporter system comprising: a luciferase reporter gene (LUC) whose transcription is under the control of upstream regulatory elements comprising 4 copies of the vitelogenin estrogen response element (ERE) cloned into the Mouse Mammary Tumor Virus (MMTV) promoter (the full name of the reporter system being 'MMTV-ERE-LUC').

AR, PR, GR and ER $\alpha$  and ER $\beta$  activities were determined by comparing luciferase activity in test cells with control cells exposed to vehicle (i.e. ethanol or methanol) only, analogous to the determination of PPAR activity as described in the previous examples. HQ extract displayed strong ER $\beta$  activity, resulting in a maximal 68-fold increase (comparable to 200% maximal activity

of estradiol) in ER $\beta$  activity at a dose of  $300 \times 10^{-6}$ g/mL. HQ extract also displayed ER $\alpha$  activity, resulting in a maximal 8-fold increase (comparable to only 50% maximal activity of estradiol) in ER $\alpha$  activity at a dose of  $300 \times 10^{-6}$ g/mL. The EC<sub>50</sub>, for both ER $\alpha$  and ER $\beta$ , were about  $130 \times 10^{-6}$ g/mL and  $50 \times 10^{-6}$ g/mL respectively.

Example 6: Synergistic effects of HQ on AR, PR and GR activities in the presence of saturating doses of the cognate hormones

HQ extract was prepared with ethanol as described in Example 1. Cells were exposed to increasing concentrations of HQ extract in the presence of fixed saturating concentrations of (1) dihydrotestosterone,  $1 \times 10^{-9}$ M; (2) progesterone,  $1 \times 10^{-6}$ M; (3) hydrocortisone,  $1 \times 10^{-9}$ M or (4) Estradiol  $1 \times 10^{-9}$ M to test for inhibitory or synergistic effect on (1) AR, (2) PR, (3) GR or (4) ER reporter gene systems respectively, as described in the previous examples.

Although HQ extract did not have any agonist action on AR, PR and GR reporter gene assays, the extract significantly augmented the activity of saturating doses of dihydrotestosterone, progesterone and hydrocortisone on the corresponding reporter gene assays (Table 3). Thus HQ dose-dependently doubled the activity of DHT and hydrocortisone on AR and GR respectively. It also increased the activity of progesterone by 140%.

HQ extract was able to increase the action of androgens, progestogens and hydrocortisone even though the hormones are at saturating doses. This property of HQ can be used to augment the endogenous or exogenous actions of androgens, progestogens and glucocorticoids to treat conditions requiring an augmentation of activity by these receptors. Examples of

conditions requiring an augmentation of activity by androgens are male infertility, chronic bone and muscle mass loss, geriatric andropause, androgen insensitivity syndromes, klinefelter syndrome and cryptorchidism; by progestogens are postmenopausal hormone replacement therapy, female  
5 infertility, endometrial cancer, secondary amenorrhea, functional uterine bleeding as well as related menstrual disorders (caused by hormonal deficiency or imbalance); by glucocorticoids are autoimmune diseases, arthritis, post-operative graft rejection and asthma.

- 10 **Table 3:** Synergistic effects of HQ extract on liganded-AR, PR and GR reporter gene systems. All wells contain saturating doses of the cognate hormones, and the indicated doses of HQ extract.

HQ extract concentration (10 <sup>-6</sup> g/mL)	Luciferase activity (Fold $\pm$ SE)		
	Androgen Receptor / Dihydrotestosterone (1 x 10 <sup>-9</sup> M)	Progesterone Receptor/ Progesterone (1 x 10 <sup>-6</sup> M)	Glucocorticoid Receptor/ Hydrocortisone (1 x 10 <sup>-9</sup> M)
0	328 $\pm$ 17	459 $\pm$ 79	11014 $\pm$ 285
1	339 $\pm$ 14	554 $\pm$ 78	17348 $\pm$ 874
3	409 $\pm$ 21	583 $\pm$ 74	18993 $\pm$ 676
10	431 $\pm$ 22	656 $\pm$ 54	20821 $\pm$ 605
30	655 $\pm$ 38	652 $\pm$ 28	24602 $\pm$ 1263
100	702 $\pm$ 60	578 $\pm$ 47	14210 $\pm$ 1419
300	521 $\pm$ 22	291 $\pm$ 20	14632 $\pm$ 294

Example 7: Methods for preparing extracts of *Astragalus membranaceus* enriched for PPAR active compound(s)

Astragalus membranaceus extract (HQ extract) was prepared as described in Example 1, except that the temperature of maceration was changed from 20°C to 100°C. The PPAR activity of HQ extract was not inactivated by heat suggesting that PPAR active compound(s) in HQ could be heat resistant up to 100°C. Astragalus membranaceus extracts (HQ extract) were prepared using a soxhlet extractor for 4 hours with solvents of varying polarity; hexane, dichloromethane (DCM), chloroform and ethanol. The HQ extracts obtained were tested for PPAR activity as described in Example 1 (Tables 4 and 5). In such a system, compounds with strong PPAR $\gamma$  activity were detected at indicated concentrations mainly in the highly non-polar hexane and DCM fractions, with lesser activity observed in the chloroform fraction, and minimal activity with ethanol. Referring to Table 4 and Fig 1, HQ ethanolic extracts at concentrations 1 to 30  $\mu\text{g/mL}$  had very minimal activity but significant activity was observed at 300  $\mu\text{g/mL}$  (Table 4).

The hexane, DCM and chloroform fractions are also enriched for compounds with PPAR $\alpha$  activity (Table 5). Maximal activity was observed at a dose of  $30 \times 10^{-6}$  g/ml, where PPAR $\gamma$  and PPAR $\alpha$  activities were increased by 16- and 8-fold respectively, i.e. both hexane and DCM extracts exhibited a 16-fold on PPAR $\gamma$  and 8-fold on PPAR $\alpha$ . PPAR $\alpha$  and PPAR $\gamma$  bioactive fractions can also be extracted using water by soxhlet extraction but for duration of 16 hours. The water extract dose-dependently increased PPAR $\gamma$  activity reaching a maximal 18-fold stimulation at a dose of  $8 \times 10^{-3}$  g/ml (Table 6). Thus the dose-response curve for the water extract was shifted to the right with respect to hexane, DCM and chloroform fractions, indicating that the water extract contained a lesser concentration of the active compounds.

For example, by referring to Tables 4, 5 and 6, the maximal PPAR activity for hexane and DCM extracts are observed at a concentration of 0.03 mg/mL, but the maximal activity for water extracts was only observed at 8 mg/mL. This indicates a concentration-activity shift where the water extract is less potent  
5 compared to hexane and DCM extracts. This is also indicated by the EC<sub>50</sub> values in Tables 4, 5 and 6.

The yield of extract in percentage weight/weight with water was 50%. In comparison, the yields with hexane, DCM and ethanol were 0.8%, 1.2% and 16% respectively. Thus water, DCM, ethanol and hexane can be used as  
10 solvents to extract PPAR bioactive compounds, and the techniques above can be used to obtain herbal drugs with PPAR agonistic activity. Since the hexane and dichloromethane extracts were about 10 times more potent than the ethanolic extract, these solvents could be used to prepare extracts enriched for PPAR active compounds. Moreover, formononetin and calycosin  
15 concentrations in HQ extracts were analysed using Liquid Chromatography-Mass Spectrometry-Mass spectrometry (LC-MS-MS) (Table 7) and both compounds were found to be highest in HQ DCM extracts. Further, it can be derived (based on data in Tables 4-7) that the hexane extract was enriched with formononetin and calycosin. For example, the highest concentration of  
20 calycosin was found in DCM extract (Table 7) and this extract was also very active (EC<sub>50</sub> of 15, as seen in Table 4). Since the hexane extract is also active, it was concluded that calycosin was found in high concentrations in the extract.

Thus HQ hexane, DCM and chloroform extracts are activators of PPAR<sub>γ</sub> and  
25 therefore can be used in the treatment of PPAR<sub>γ</sub>-mediated conditions. Based on Table 4, doses of HQ hexane or chloroform extract resulting in 1 to 30 x 10<sup>-6</sup> g/mL of HQ in plasma indicate to have a therapeutic effect. Considering 100% absorption in an adult human with a normal blood volume of 5 L, doses of 5 to 150 x 10<sup>-3</sup> g of HQ hexane or chloroform extract is required for a

clinical effect. Thus HQ can be used to treat conditions mediated by PPAR $\gamma$  like diabetes, polycystic ovarian disease, cancer, and inflammatory bowel disease.

HQ hexane, DCM and chloroform extracts were also activators of PPAR $\alpha$ . As can be seen in Table 5, PPAR $\alpha$  activity was first observed at a dose  $1 \times 10^{-6}$  g/mL, rising to a peak at  $30 \times 10^{-6}$  g/mL. Therefore, effective doses of HQ hexane or chloroform extract result in  $1$  to  $30 \times 10^{-6}$  g/mL of HQ in plasma to observe a therapeutic effect. Considering 100% absorption in an adult human with a normal blood volume of 5 L, doses of 5 to  $150 \times 10^{-3}$  g of HQ is required for a therapeutic effect. Thus HQ can be used to treat conditions mediated by PPAR $\alpha$  like dyslipidaemia, atherosclerosis, coronary heart disease and obesity.

**Table 4:** PPAR $\gamma$  activity of HQ extracts obtained by extraction with various solvents; at indicated concentrations

Solvent used to prepare extract	PPAR $\gamma$ activity (fold increase over vehicle $\pm$ SE)				
	Concentration of extract ( $\times 10^{-6}$ g/mL)				EC $_{50}$ ( $\times 10^{-6}$ g/mL)
	1	3	10	30	
Hexane	1.15 $\pm$ 0.15	2.68 $\pm$ 0.12	5.00 $\pm$ 0.32	16.4 $\pm$ 1.55	15
Dichloromethane	1.00 $\pm$ 0.04	2.67 $\pm$ 0.36	4.13 $\pm$ 0.35	15.9 $\pm$ 1.41	15
Chloroform	1.00 $\pm$ 0.08	0.92 $\pm$ 0.09	2.07 $\pm$ 0.18	3.43 $\pm$ 0.57	30
Ethanol	ND	ND	1.36 $\pm$ 0.05	1.75 $\pm$ 0.51	105

ND: Not Determined

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**Table 5:** PPAR $\alpha$  activity of HQ extracts obtained by extraction with various solvents; at indicated concentrations

Solvent used to prepare extract	PPAR $\alpha$ activity (fold increase over vehicle $\pm$ SE)				
	Concentration of extract ( $\times 10^{-5}$ g/mL)				
	1	3	10	30	EC <sub>50</sub> ( $\times 10^{-5}$ g/mL)
Hexane	1.60 $\pm$ 0.21	1.64 $\pm$ 0.18	5.62 $\pm$ 0.72	6.76 $\pm$ 0.27	6
Dichloromethane	1.00 $\pm$ 0.05	1.72 $\pm$ 0.06	3.67 $\pm$ 0.15	8.98 $\pm$ 2.12	11
Chloroform	1.00 $\pm$ 0.20	1.19 $\pm$ 0.27	2.04 $\pm$ 0.25	3.06 $\pm$ 0.33	100
Ethanol	ND	ND	2.18 $\pm$ 0.10	2.47 $\pm$ 0.28	100

ND: Not Determined

5 **Table 6:** PPAR $\gamma$  and PPAR $\alpha$  activity of HQ extracts obtained by extraction with Water; at indicated concentrations

Concentration of extract ( $\times 10^{-3}$ g/mL)	PPAR activity (fold increase over vehicle $\pm$ SE)	
	PPAR $\gamma$	PPAR $\alpha$
0.0	1.00 $\pm$ 0.07	1.00 $\pm$ 0.19
0.5	3.37 $\pm$ 0.49	2.54 $\pm$ 0.21
1.0	4.31 $\pm$ 0.26	6.97 $\pm$ 0.14
2.0	6.78 $\pm$ 0.42	10.5 $\pm$ 0.33
4.0	8.94 $\pm$ 1.26	15.4 $\pm$ 2.43
8.0	18.5 $\pm$ 2.39	29.9 $\pm$ 3.81
EC <sub>50</sub> ( $\times 10^{-6}$ g/mL)	4000	4000

**Table 7: Formononetin and Calycosin Concentrations by LC-MS-MS analysis**

Solvent used to prepare extract	Concentration ( $\times 10^{-3}$ g/g)	
	Formononetin	Calycosin
Dichloromethane	1.55	0.55
Ethanol	1.38	0.31
Water	0.63	0.05

**Example 8: Methods for fractionation and isolation of PPAR active compound(s)**

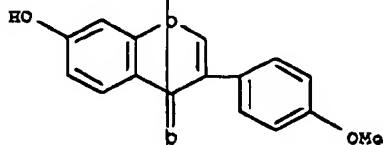
Bioassay-guided fractionation was performed to identify the bioactive compound(s). PPAR activity was assayed as described in Example 1. Dried roots of *Astragalus membranaceus* (~8 Kg) were milled into small pieces and then extracted with DCM. The extract was dried at 40°C under vacuum to give an oily brown-colour extract (~90g). 10g of the DCM extract was dry packed with 15g of silica gel (LiChroprep Si 60 40~63  $\mu$ m) and applied onto the top of a Medium Pressure Liquid Chromatography glass column packed under pressure with 350g silica gel (LiChroprep Si 60 15~25  $\mu$ m). The column was successively eluted using mixtures of Hexane and Acetone with increasing polarity as follows: 99:1, 98:2, 97:3,...,90:10, 80:20,...,10:90, 0:100, and in the end washed with methanol. Among the 37 fractions collected by the MPLC system, Compound I and Compound II were obtained from fraction 19 (70:30; Hexane:Acetone) (72.2 mg) and 29 (60:40; Hexane:Acetone) (26.3 mg), respectively. Thus this is an effective method for obtaining fractions that are enriched for Compound I and Compound II.



Example 9: Structure elucidations of compound I

Compound I was obtained as fine white needles with a melting point of 257~258 °C (Hexane/Acetone). Purity analysis using reverse-phase HPLC indicated that it is ≥99% pure. Its HREIMS showed the  $[M]^+$  at  $m/z$  268.0729, consistent with a molecular formula of  $C_{16}H_{12}O_4$ . Analysis of the  $^1H$  and  $^{13}C$  NMR spectra showed the characteristic chemical shifts for a 7, 4'-disubstituted isoflavone. In the  $^1H$  NMR spectrum, H-2 appeared as a single peak at the lowest field; H-6 ( $\delta$  6.92) coupling with both H-5 ( $\delta$  7.5,  $d$ ) and H-8 ( $\delta$  6.85,  $d$ ), appeared as a typical  $dd$  peak ( $J$ = 8.8, 2.35 Hz). The highly structural symmetry of B ring caused the signals of H-3' and 5' to resonate as one group of multiple peaks ( $\delta$  6.96) in the low field, followed by those of H-2' and H-6' ( $\delta$  7.50,  $m$ ). In the high field, the methyl of 4'-OCH<sub>3</sub> showed a sharp single peak at  $\delta$  3.79. The  $^{13}C$ -NMR spectral data (in ppm) are as follows: C2-153.0, C3-124.3, C4-174.6, C5-127.2, C6-115.4, C7-163.0, C8-102.1, C9-157.5, C10-116.4, C1'-123.1, C2'-130.0, C3'-113.6, C4'-158.9, C5'-113.6, C6'-130.0, OCH<sub>3</sub>-55, which are identical to those of the reference (Carbon-13 NMR of Flavonoids, by P.K. Agrawal). According to the above analysis, the structure of Compound I can be determined as 7-Hydroxy-4'-methoxyisoflavone, which is a known phytoestrogen, named as formononetin.

The structure of formononetin is:

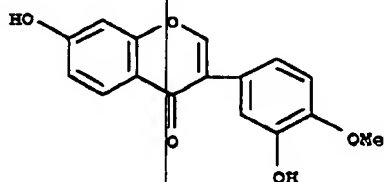


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Example 10: Structure elucidations of compound II

Compound II was obtained as long white needles with a lower melting point at 251~252 C (Hexane/Acetone). Analysis of its HREIMS ( $[M]^+$   $m/z$  284.0685) indicated the molecular formula was  $C_{16}H_{12}O_5$ , which had one more "O" atom than that of formononetin. The  $^1H$ ,  $^{13}C$  NMR spectra also showed the characteristic chemical shifts of isoflavone, but the signals of B ring were quite different from those of formononetin. In the  $^1H$  NMR spectrum, because of the substitution of one more -OH group to B ring, the structural symmetry was corrupted, and accordingly some proton signals resonated to different positions. In detail, H-2' ( $\delta$  7.0,  $d$ ,  $J=1.85$  Hz) appeared as a broad  $d$  peak in the low field, followed by the highly overlapped signals of H-5' and H-6' at  $\delta$  6.94. Compared with the reference, the  $^{13}C$ -NMR spectral data (in ppm) are determined as follows: C2-152.9, C3-124.7, C4-174.5, C5-127.1, C6-115.3, C7-163.1, C8-102.0, C9-157.4, C10-116.4, C1'-123.3, C2'-116.3, C3'-146.0, C4'-147.4, C5'-112.0, C6'-119.6, OCH<sub>3</sub>-55.6. Finally, the structure of Compound II was characterized as 3',7'- Dihydroxy-4'- methoxyisoflavone, which is also a known compound, named as calycosin.

The structure of calycosin is:



20 Example 11: Agonistic action of formononetin on PPAR $\gamma$  and PPAR $\alpha$ , and ER $\alpha$  and ER $\beta$

The bioassay was performed as that described in the previous examples. Formononetin was diluted in dimethylsulfoxide (DMSO) and tested at

concentrations 3, 10 and 30  $\times 10^{-6}$ g/mL. Formononetin displayed strong agonist activities with ER $\alpha$ , ER $\beta$ , PPAR $\gamma$  and PPAR $\alpha$ . The presence of formononetin resulted in a 17-fold increase (comparable to maximal activity of Estradiol) in ER $\alpha$  activity at a concentration of 30  $\times 10^{-6}$ g/mL. Formononetin also displayed strong ER $\beta$  activity, resulting in a maximal 130-fold increase (comparable to 400% maximal activity of Estradiol) at a dose of 30  $\times 10^{-6}$ g/mL. The activity of formononetin on the other receptors are as described in Table 8, expressed as fold increase in luciferase activity compared to cells exposed to vehicle only. Thus formononetin increased PPAR $\gamma$  and PPAR $\alpha$  activities by up to 6- and 10-fold respectively (Table 8). Thus formononetin would be useful to increase PPAR $\gamma$  and PPAR $\alpha$  activities in diseases associated with deficiencies in the activity of these receptors.

**Table 8:** Formononetin as an agonist for PPAR $\gamma$  and PPAR $\alpha$  and ER

Concentration ( $10^{-6}$ g/mL)	Luciferase activity (Fold increase over vehicle $\pm$ SE)			
	PPAR $\gamma$	PPAR $\alpha$	ER $\alpha$	ER $\beta$
0	1.00 $\pm$ 0.07	1.00 $\pm$ 0.09	1.00 $\pm$ 0.03	1.00 $\pm$ 0.02
1	2.11 $\pm$ 0.31	3.12 $\pm$ 0.01	ND	ND
3	1.30 $\pm$ 0.18	2.97 $\pm$ 0.55	5.99 $\pm$ 0.53	62.7 $\pm$ 8.66
10	5.48 $\pm$ 1.30	4.24 $\pm$ 0.01	11.5 $\pm$ 3.17	89.4 $\pm$ 29.5
30	6.96 $\pm$ 0.07	10.4 $\pm$ 0.7	16.8 $\pm$ 1.20	131 $\pm$ 24.0

ND: Not Determined

**Example 12: Synergistic action of formononetin on PPAR $\gamma$  and PPAR $\alpha$ , AR, PR and ER $\alpha$  and ER $\beta$**

HeLa cells were exposed to increasing concentrations of formononetin diluted in DMSO in the presence of fixed concentrations of (1) pioglitazone, (30  $\times 10^{-6}$

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6M); (2) WY14643 ( $30 \times 10^{-6}$ M); (3) dihydrotestosterone,  $1 \times 10^{-9}$ M; (4) progesterone,  $10 \times 10^{-6}$ M; or (5) estradiol ( $1 \times 10^{-9}$ M) to test for inhibitory or synergistic effect on (1) PPAR $\gamma$ , (2) PPAR $\alpha$ , (3) AR, (4) PR, or (5) ER reporter gene systems respectively. These concentrations are at the plateau of dose-response curves observed with the respective receptors. Full details of these reporter gene assays have been described in as described in the previous examples.

Unexpectedly, formononetin augmented the activity of WY14643, dihydrotestosterone, progesterone and Estradiol on the PPAR $\alpha$ , AR, PR and ER $\alpha$  reporter gene assays respectively (Table 9). Thus formononetin was able to synergize and increase the activity of maximal doses of PPAR agonist by 4.4-fold, AR agonist by 2-fold, PR agonist by 1.8-fold, and ER $\alpha$  by 3.2-fold. In contrast, the PPAR $\gamma$  activity of formononetin (at doses ranging from 3 to  $30 \times 10^{-6}$ g/mL) when added to pioglitazone ( $30 \times 10^{-6}$ M) was no different than that observed with pioglitazone alone. In addition, the ER $\beta$  activity of formononetin (at doses ranging from 1 to  $30 \times 10^{-6}$ g/mL) when added to estradiol ( $1 \times 10^{-9}$ M) exhibited its own potent estrogenic activity. Thus formononetin, at doses ranging from 1 to  $30 \times 10^{-6}$ g/mL, did not inhibit or augment the activity of estradiol alone. Those skilled in the art will realize that this novel augmentative ability of formononetin can be utilized to boost the effects of endogenous or exogenous androgens, estrogens, progestogens and PPAR $\alpha$  ligands in diseases due to deficiency in these hormones. It can also be used to augment the effects of these hormones in normal people where such boosting effects are desired.

25

**Table 9: Synergistic effects of formononetin on PPAR, AR, PR and ER reporter gene systems**

Luciferase activity (Fold over vehicle $\pm$ SE)	Formononetin concentration ( $10^{-6}$ g/mL)			
	0	0.3	1	3
PPAR $\alpha$ / WY14643 ( $30 \times 10^{-6}$ M)	14.9 $\pm$ 1.92	35.6 $\pm$ 2.63	43.7 $\pm$ 2.60	66.0 $\pm$ 3.21
Luciferase activity (Fold over vehicle $\pm$ SE)	Formononetin concentration ( $10^{-6}$ g/mL)			
	0	3	10	30
PR / Progesterone ( $10 \times 10^{-6}$ M)	364 $\pm$ 65.3	654 $\pm$ 120	564 $\pm$ 65.6	618 $\pm$ 44.7
ER $\alpha$ / Estradiol ( $1 \times 10^{-9}$ M)	17.6 $\pm$ 3.74	55.5 $\pm$ 4.62	52.8 $\pm$ 4.72	47.3 $\pm$ 10.7
ER $\beta$ / Estradiol ( $1 \times 10^{-9}$ M)	33.0 $\pm$ 3.52	86.2 $\pm$ 7.35	113 $\pm$ 14.2	110 $\pm$ 13.7

**5 Example 13: Agonistic action of calycosin on PPAR $\gamma$ , ER $\alpha$  and ER $\beta$** 

Bioassays were performed as that described in the previous examples. Calycosin was diluted in dimethylsulfoxide (DMSO) and tested at concentrations of 3, 10 and  $30 \times 10^{-6}$ g/mL. Calycosin displayed strong PPAR $\gamma$  activity resulting in a maximal 40-fold (comparable to 50% maximal activity of pioglitazone on PPAR $\gamma$ ) at a concentration of  $30 \times 10^{-6}$ g/ml. In addition, calycosin also exhibit ER $\alpha$  agonistic activity, resulting in a maximal 20-fold increase (comparable to 50% maximal activity of Estradiol on ER $\alpha$ ) at a concentration of  $30 \times 10^{-6}$ g/ml. Similarly, at a concentration of  $30 \times 10^{-6}$ g/ml,

calycosin displayed strong ER $\beta$  activity, resulting in a maximal 100-fold increase (comparable to 400% maximal activity of Estradiol on ER $\beta$ ). Thus calycosin can be used to increase PPAR $\gamma$ , ER $\alpha$  and ER $\beta$  activities in diseases associated with deficiencies in the activity of these receptors. It is also a  
5 SERM since it activates ER $\beta$  more than ER $\alpha$ .

Example 14: Synergistic action of Calycosin on PPAR $\gamma$  and PPAR $\alpha$ , AR, PR and ER $\alpha$  and ER $\beta$ .

Cells were exposed to increasing concentrations of calycosin diluted in DMSO  
10 in the presence of fixed concentrations of (1) pioglitazone, (30  $\times 10^{-6}$ M); (2) WY14643 (30  $\times 10^{-6}$ M); (3) dihydrotestosterone, 1  $\times 10^{-9}$ M; (4) progesterone, 10  $\times 10^{-6}$ M; or (5) estradiol (1  $\times 10^{-9}$ M) to test for inhibitory or synergistic effect on (1) PPAR $\gamma$ , (2) PPAR $\alpha$ , (3) AR, (4) PR, or (5) ER reporter gene systems respectively, as described in the previous examples.

15 Unexpectedly, calycosin augmented the activity of pioglitazone, WY14643, dihydrotestosterone, progesterone and estradiol on the PPAR $\gamma$ , PPAR $\alpha$ , AR, PR and ER $\alpha$  reporter gene assays respectively (Table 10). These concentrations are at the plateau of dose-response curves observed with the respective receptors. Thus calycosin was able to synergize and increase the  
20 activity of maximal doses of PPAR $\gamma$  agonist by 3-fold, PPAR $\alpha$  agonist by 1.8-fold, AR agonist by 5.8-fold, PR agonist by 1.8-fold, and ER $\alpha$  by 2.9-fold. The effect of calycosin on ER $\beta$  activity was additive at concentrations ranging from 1 to 30  $\times 10^{-6}$ g/mL, increasing the effect of estradiol (1  $\times 10^{-9}$ M) due to its own potent estrogenic activity. Those skilled in the art will realize that this novel  
25 augmentative ability of calycosin can be utilized to boost the effects of endogenous or exogenous PPAR $\alpha$  and PPAR $\gamma$  , ligands, androgens, estrogens, and progestogens in diseases due to deficiency in these

hormones. It can also be used to augment the effects of these hormones in normal people where such boosting effects are desired.

**Table 10:** Synergistic effects of calycosin on PPAR, AR, PR and ER reporter gene systems

Luciferase activity (Fold over vehicle $\pm$ SE)	Calycosin concentration ( $10^{-6}$ g/mL)			
	0	3	10	30
PPAR $\gamma$ / Pioglitazone ( $30 \times 10^{-6}$ M)	80.4 $\pm$ 24.0	105 $\pm$ 10.7	162 $\pm$ 28.5	253 $\pm$ 30.7
PPAR $\alpha$ / WY14643 ( $30 \times 10^{-6}$ M)	162 $\pm$ 31.7	197 $\pm$ 20.6	257 $\pm$ 8.85	294 $\pm$ 39.2
AR/ Dihydrotestosterone ( $1 \times 10^{-9}$ M)	771 $\pm$ 27.6	1997 $\pm$ 96.1	4080 $\pm$ 5.67	4502 $\pm$ 995
PR / Progesterone ( $10 \times 10^{-6}$ M)	344 $\pm$ 13.8	1077 $\pm$ 43.4	943 $\pm$ 44.0	837 $\pm$ 115
ER $\alpha$ / Estradiol ( $1 \times 10^{-9}$ M)	39.4 $\pm$ 0.33	118 $\pm$ 2.18	127 $\pm$ 6.3	114 $\pm$ 4.18
ER $\beta$ / Estradiol ( $1 \times 10^{-9}$ M)	26.7 $\pm$ 2.60	55.2 $\pm$ 1.21	65.1 $\pm$ 2.71	70.7 $\pm$ 3.61

Example 15: Agonistic action of daidzein on PPAR $\gamma$ , PPAR $\alpha$ , ER $\alpha$  and ER $\beta$

Bioassays were performed as that described in previous examples. Daidzein was diluted in dimethylsulfoxide (DMSO) and tested at concentrations of 5, 10 and 20  $\times 10^{-6}$ g/mL. Daidzein displayed PPAR $\gamma$  activity resulting in a maximal

20-fold (comparable to 22% maximal activity of pioglitazone on PPAR $\gamma$ ) at a concentration of  $20 \times 10^{-6}$ g/ml. Daidzein also has PPAR $\alpha$  activity with a maximal 8-fold (comparable to 73% maximal activity of WY14643 on PPAR $\alpha$ ) at a concentration of  $10 \times 10^{-6}$ g/ml. In addition, daidzein exhibits ER $\alpha$  agonistic activity, resulting in a maximal 20-fold increase (comparable to 100% maximal activity of Estradiol on ER $\alpha$ ) at a concentration of  $5 \times 10^{-6}$ g/ml. Similarly, at a concentration of  $30 \times 10^{-6}$ g/ml, daidzein displayed strong ER $\beta$  activity, resulting in a maximal 29-fold increase (comparable to 200% maximal activity of Estradiol on ER $\beta$ ). Thus daidzein can be used to increase PPAR $\alpha$  and  $\gamma$ , ER $\alpha$  and ER $\beta$  activities in diseases associated with deficiencies in the activity of these receptors. It is also a SERM since it activates ER $\beta$  more than ER $\alpha$ .

Example 16: Synergistic action of Daidzein on PPAR $\alpha$ , AR and PR

HeLa cells were exposed to increasing concentrations of daidzein diluted in DMSO in the presence of fixed concentrations of (1) pioglitazone, ( $30 \times 10^{-6}$ M); (2) WY14643 ( $30 \times 10^{-6}$ M); (3) dihydrotestosterone,  $1 \times 10^{-9}$ M; (4) progesterone,  $100 \times 10^{-9}$ M; or (5) estradiol ( $1 \times 10^{-9}$ M) to test for inhibitory or synergistic effect on (1) PPAR $\gamma$ , (2) PPAR $\alpha$ , (3) AR, (4) PR, or (5) ER reporter gene systems respectively, as described in Examples 1 and 4.

Unexpectedly, daidzein augmented the activity of WY14643, dihydrotestosterone, progesterone on the PPAR $\alpha$ , AR and PR reporter gene assays respectively (Table 11). These concentrations are at the plateau of dose-response curves observed with the respective receptors. Thus calycosin was able to synergize and increase the activity of maximal doses of PPAR $\alpha$  agonist by 7-fold, AR agonist by 3-fold and PR agonist by 3-fold. Those skilled in the art will realize that this novel augmentative ability of daidzein can be utilized to boost the effects of endogenous or exogenous PPAR $\alpha$  ligands,



androgens, and progestogens in diseases due to deficiency in these hormones. It can also be used to augment the effects of these hormones in normal people where such boosting effects are desired.

5 **Table 11:** Synergistic effects of daidzein on PPAR, AR, PR reporter gene systems

Luciferase activity (Fold over vehicle $\pm$ SE)	Daidzein concentration ( $10^{-6}$ g/mL)			
	0	5	10	20
PPAR $\alpha$ / WY14643 ( $30 \times 10^{-9}$ M)	10.9 $\pm$ 1.33	58.2 $\pm$ 14.9	71.3 $\pm$ 9.90	53.4 $\pm$ 13.3
AR/ Dihydrotestosterone ( $1 \times 10^{-9}$ M)	657 $\pm$ 15.1	1703 $\pm$ 154	1684 $\pm$ 146	1547 $\pm$ 257
PR / Progesterone ( $100 \times 10^{-9}$ M)	220 $\pm$ 54.2	569 $\pm$ 84.0	607 $\pm$ 90.6	326 $\pm$ 65.8

Example 17: Synergistic action of Genistein on AR and other steroid receptors

- 10 To measure the effects of genistein on liganded-AR activity, HeLa cells were exposed to increasing concentrations of genistein diluted in ethanol in the absence or presence of fixed concentrations of dihydrotestosterone, ( $10 \times 10^{-9}$ M) (Figure 2A). Concentrations of dihydrotestosterone above  $3 \times 10^{-9}$ M results in maximal androgenic action and a plateau in the dose-response
- 15 curve. Cells were also exposed to increasing concentrations of dihydrotestosterone in the absence, or presenc , of fixed concentrations of genistein ( $3 \times 10^{-6}$ M) (Figure 2B). Androgenic activity was measured with an AR-driv n reporter gene assay as described in Example 5. Although genistein

(at concentrations ranging from 0.1 to 30  $\times 10^{-6}$ M) does not display any intrinsic agonistic AR activity, it was able to further increase by 5-fold the activity of saturating doses of dihydrotestosterone in a dose-responsive manner (Figure 2A). A fixed concentration of Genistein at 3  $\times 10^{-6}$ M also  
5 augmented the activity of dihydrotestosterone on AR at concentrations ranging from 0.01 to 10  $\times 10^{-9}$ M (Figure 2B). This synergistic action of genistein was not due to an increase in AR protein expression as immunoblot analyses using replicate HeLa cell lysates, in the presence of DHT, with or without genistein, did not show any differences in expression of AR protein.  
10 Genistein, due to the similar isoflavonoid backbone as formononetin, daidzein and calycosin, may also boost the action of PPAR $\alpha$  and PPAR $\gamma$  ligands, estrogens, and progestogens. This novel augmentative ability of genistein, a commonly consumed soy isoflavone, can be used to boost the effects of endogenous or exogenous PPAR $\alpha$  and PPAR $\gamma$  ligands, androgens,  
15 estrogens, and progestogens in diseases due to deficiency in these hormones. It can also be used to augment the effects of these hormones in normal people where such boosting effects are desired.

Example 18: Effect of the PPAR $\gamma$  antagonist, GW9662, on the synergistic action of extracts and compounds from HQ.

20 Since the synergistic effects of extracts and compounds from HQ were not due to increased expression of steroid receptors, we performed experiments to determine if these effects were mediated through their ligand binding pocket. GW9662 is a mixed agonist/ antagonist which binds irreversibly to the ligand binding pocket of PPAR (Leesnitzer et al, 2002). On its own, GW9662  
25 displayed weak PPAR $\gamma$  agonistic activity (Table 12). As expected, the presence of GW9662, at doses above 1  $\times 10^{-6}$ M, inhibited the PPAR $\gamma$  effects of pioglitazone in a dose-dependent manner. Unexpectedly, the presence of HQ water extract, HQ DCM extract, formononetin, genistein were able to increase the intrinsic agonist and antagonistic activity of GW9662. Thus an

augmented PPAR $\gamma$  stimulatory effect was observed when extracts and compounds of HQ were added to low doses ( $0.3$  to  $1.0 \times 10^{-6}$ M) of GW9662 (Table 13). Higher doses of GW9662 ( $1 \times 10^{-6}$ M) resulted in an antagonistic action similar to that observed with pioglitazone. These agonist/antagonist actions of GW9662 were thus shifted upwards indicating that the augmentative effects of extracts and compounds of HQ and genistein were not mediated through the ligand binding pocket of PPAR $\gamma$ . Because GW9662 binds irreversibly to the ligand-binding pocket of PPAR $\gamma$ , extracts and compounds of HQ and genistein exert their augmentative effect through mechanism(s) that do NOT involve the ligand pocket of PPAR $\gamma$ . More generally, we have discovered new method(s) to augment the action of steroid receptors such as AR, PR GR and ER that does not involve specific ligand binding to the ligand-binding pocket of steroid receptors. This discovery allows the development of new drug discovery screening platforms to search for compounds that may augment the action of liganded-steroid receptors.

**Table 12:** Effect of increasing doses of GW9662 alone and in combination with a fixed dose of pioglitazone. PPAR $\gamma$  activity was measured as described in Example 1.

Concentration of GW9662 ( $\times 10^{-6}$ M)	Luciferase activity (%Pioglitazone $30 \times 10^{-6}$ M $\pm$ SE)	
	Vehicle (i.e. dimethylsulfoxide, DMSO)	Pioglitazone ( $30 \times 10^{-6}$ M)
0	$0.543 \pm 0.190$	$100 \pm 21.2$
0.01	$2.57 \pm 0.207$	$97 \pm 23.8$
0.1	$13.8 \pm 2.28$	$114 \pm 5$
1	$23.5 \pm 2.74$	$77.2 \pm 11.4$
10	$39.3 \pm 4.38$	$40.6 \pm 12.3$

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**Table 13:** Effect of increasing doses of GW9662 on PPAR $\gamma$  activities of fixed doses of HQ extracts, formononetin and genistein. PPAR activity was measured as described in Example 1.

Concentration of GW9662 ( $\times 10^{-6}$ M)	Luciferase activity (Fold $\pm$ SE)			
	HQ Water extract ( $8 \times 10^{-3}$ g/mL)	HQ DCM extract ( $50 \times 10^{-6}$ g/mL)	Formononetin ( $10 \times 10^{-6}$ M)	Genistein ( $10 \times 10^{-6}$ M)
0	28.8 $\pm$ 3.92	15.1 $\pm$ 0.76	4.78 $\pm$ 0.184	7.37 $\pm$ 0.128
0.3	43.7 $\pm$ 9.97	61.2 $\pm$ 6.86	91.5 $\pm$ 1.54	93.6 $\pm$ 2.47
1	73.1 $\pm$ 13.2	56.1 $\pm$ 2.54	106 $\pm$ 8.28	83.9 $\pm$ 4.37
3	44.2 $\pm$ 7.93	62.2 $\pm$ 1.73	94.4 $\pm$ 3.24	82.5 $\pm$ 2.63
10	61.5 $\pm$ 28.3	55.0 $\pm$ 4.01	69.1 $\pm$ 0.575	67.4 $\pm$ 1.52
30	20.4 $\pm$ 3.54	30.8 $\pm$ 1.44	44.8 $\pm$ 1.10	39.5 $\pm$ 0.403

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